# Event-driven model predictive control of timed hybrid Petri nets

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## SUMMARY

Hybrid Petri nets represent a powerful modeling formalism that offers the possibility of integrating, in a natural way, continuous and discrete dynamics in a single net model. Usual control approaches for hybrid nets can be divided into discrete-time and continuous-time approaches. Continuous-time approaches are usually more precise, but can be computationally prohibitive. Discrete-time approaches are less complex, but can entail *mode-mismatch* errors due to fixed time discretization. This work proposes an optimization-based *event-driven* control approach that applies on continuous time models and where the control actions change when discrete events occur. Such an approach is computationally feasible for systems of interest in practice and avoids *mode-mismatch* errors. In order to handle modelling errors and exogenous disturbances, the proposed approach is implemented in a closed-loop strategy based on event-driven model predictive control. Copyright © 2013 John Wiley & Sons, Ltd.

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# 1. INTRODUCTION

Petri nets (PNs) represent a widely spread formalism for modeling discrete event systems [1, 2]. Similarly to other formalisms for discrete systems, PNs suffer from the well-known state explosion problem, that is, the number of states increase exponentially with respect to the size of the system.

An effective approach to avoid the state explosion problem is to approximate the discrete variables that reach large values by continuous variables. Such variables typically correspond to raw parts, produced items, capacity of buffers and so on. On the other hand, other variables, such as shared resources or processing machines, might maintain small values for any potential system evolution. Hence, they should be kept as discrete. These considerations lead to hybrid PNs [3], a modeling formalism in which the Petri net structure is the same as in a classical Petri net. In hybrid nets, the amount of tokens in the subset of *continuous places* and the firings of the subset of *continuous transitions* are real numbers, whereas the amount of tokens in the subset of *discrete places* and the firings of the subset of *discrete transitions* are integer numbers as in classical PNs.

As in timed discrete PNs, several semantics can be associated to the firing of continuous transitions in timed hybrid PNs. In this paper, we will consider finite-server semantics. Under this semantics, the firing rate of a continuous transition remains constant as long as no place becomes empty [4]. When a place becomes empty, the firing rate changes and remains constant again until another place becomes empty. In this way, the continuous-time evolution of the marking of a continuous Petri net is piecewise-linear.

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The autonomous behavior of a hybrid Petri net (HPN) can be modified by introducing control actions on the net transitions. By using a common interpretation where a continuous transition is seen as a valve through which a liquid flows, the control action on the transition determines how much such valve is open. On the other hand, control actions on discrete transitions can delay their firing instant. The introduction of control actions allows one to define control problems in the framework of HPNs.

In this paper, we propose a framework for optimization-based control of timed HPNs based on their piecewise linear trajectories. The task of solving control problems for continuous-time piecewise-linear systems is, in general, a challenging problem [5, 6]. A common approach to overcome this difficulty is to consider a discrete-time representation of the system [7]. However, time discretization leads to mode-mismatch errors [8], mode changes that occur during the intersampling, and hence are lost or delayed in the discrete-time representation, leading to possibly large differences between the discrete-time and continuous-time trajectories. Clearly, the smaller the time step, the smaller the effects of the mode mismatch are. Unfortunately, in the case of finite horizon optimal control, reducing the sampling period usually increases the complexity of the problem to solve [8,9].

The framework proposed in this paper is event-driven, and hence, by considering mode switches as included in the events, mode-mismatch is avoided. In such an approach, the control input is parametrized by a piecewise constant function, where the time-duration of the different step is not assumed constant. As a consequence, the control signal is given by tuples  $(v(k), q(k), \sigma(k))$  defining the integral of the control signal during the application period for continuous transitions, the application period, and the discrete transitions to be fired at the beginning of period, respectively. A tuple is produced when an event occurs, that is, for a HPN, when a place becomes empty, when a discrete transition fires or when a discrete transition becomes enabled or disabled. Given that the marking evolution is piecewise-linear, the full system trajectory is defined by the sequence of tuples. Preliminary results of this method for optimal control of continuous PNs were proposed in [10]. This paper improves such preliminary results by considering hybrid PNs, and further extending the optimal control framework to a model predictive one, to provide a closed-loop strategy that corrects external disturbances.

Model predictive control (MPC) [11, 12] is an optimization based receding horizon closed-loop control strategy, where at each control cycle, a (constrained) finite horizon optimal control problem is solved, and only the first part of the computed optimal input profile is applied to the system. However, differently from open-loop optimal control, when fresh information on the system state becomes available, by measurements or estimation, the optimal sequence is recomputed. In this way, feedback is taken into account and MPC results to be a closed-loop control strategy.

Because of the improved performance achieved by using optimization algorithms to the capability of handling multiple inputs, and to the possibility of enforcing constraints, MPC has found several applications, for instance, in process industry [13], automotive (e.g., [14, 15]), aerospace (e.g., [16]), and supply chains (e.g., [17]). A previous application of MPC to a particular class of discrete-event systems is found in [18].

Several approaches to control continuous PNs exist in the literature. In [19], an algorithm to track control of PNs without joins is suggested. The work in [4] develops a method based on a linear programming problem to obtain optimal modes of operation for hybrid PNs and also proposes efficient techniques for sensitivity analysis on this kind of nets. Classical discrete-time MPC has been applied to continuous PNs under infinite server semantics in [20, 21]. Here, the focus is on finite server semantics for which classical MPC requires time discretization that may lead to mode mismatch errors when places of the net become empty during the intersampling. As such, the sampling period must be kept small enough to minimize the problems due to such errors, which however increases the computational complexity of the MPC controller. With respect to previous approaches, the present paper provides improvements in the class of models considered—hybrid PNs instead of continuous PNs—and on the controller properties, because the event-driven MPC does not require time-discretization nor oversampling to avoid mode mismatch problems. In particular, our approach extends the work in [4] by embedding both continuous and discrete transitions in the same set of equations using an event-driven formulation, and by proposing an MPC

framework based on this formulation, which allows for closed-loop control capable of compensating for external disturbances and modeling errors [22].

The rest of the paper is organized as follows. Section 2 introduces hybrid PNs. A technique to express the behavior of hybrid PNs in an event-driven fashion is discussed in Section 3. Section 4 presents two methods for the event-driven control of continuous PNs. A finite horizon open-loop optimal control problem is introduced first, then used to implement an MPC strategy. Two case studies are shown in Section 5. The conclusions are summarized in Section 6.

Notation:  $\mathbb{R}$ ,  $(\mathbb{R}_{0+}, \mathbb{R}_{+})$  is the set of (nonnegative, positive) real numbers and  $\mathbb{N}$  is the set of natural numbers. For a set S, |S| denotes the cardinality of S. Inequalities between vectors are intended componentwise, and when a number c is used in the place of a vector, it indicates a vector where all the components have value c. The transpose of a matrix A is denoted as A'. For a time-dependent vector x, x[i](k) denotes the value of component i at step k, and x(k) denotes the whole vector at step k. The step (k) will be omitted if clear from the context. For a vector  $\mu \in \mathbb{R}^n$ ,  $\mu(h|\tau)$  is the h-steps ahead predicted value starting from time  $\tau$ . Because this paper discusses event-driven control, the steps start at the occurrence of events, and time duration of the steps is not constant.

## 2. HYBRID PETRI NETS

This section introduces the basic concepts related to *hybrid* PNs. In the following, we assume the reader is familiar with the basic concepts of Petri nets (PNs), see [1,2] for an extensive overview.

## 2.1. Untimed hybrid Petri nets

In contrast to conventional (i.e., discrete) PNs, the arc weights of hybrid PNs are real-valued.

Definition 1 (HPN) A hybrid Petri net (HPN) is a tuple  $\mathcal{N} = \langle P, T, Pre, Post \rangle$  where

- P is a set of |P| places, and T is a set of |T| transitions.
- $Pre: P \times T \to \mathbb{R}_{0+}$  and  $Post: P \times T \to \mathbb{R}_{0+}$  are the *pre*-incidence and *post*-incidence functions that specify the arc weights.
- $P = P_c \cup P_d$ ,  $P_c \cap P_d = \emptyset$ , and  $T = T_c \cup T_d$ ,  $T_c \cap T_d = \emptyset$ .

The set of places P is partitioned into a set of *discrete places*,  $P_d$ , and a set of *continuous places*,  $P_c$ . Similarly, the set of transitions T is partitioned into a set of *discrete transitions*,  $T_d$ , and a set of *continuous transitions*,  $T_c$ . Discrete places are graphically represented as circles and continuous places as double circles, and similarly discrete transitions are represented as rectangles and continuous transitions as double rectangles, see, for instance, the network in Figure 3.

The main difference between HPNs and discrete PNs is in the way the transitions are fired. In discrete PNs, the transitions are fired a natural number of times. In HPNs, the discrete transitions are also fired a natural number of times, but the continuous transitions can be fired a real number of times, which leads to real markings in continuous places.

To ensure the integrality of the marking of discrete places, two conditions are required:

- (i)  $Pre[p, t] \in \mathbb{N}$  and  $Post[p, t] \in \mathbb{N}$  for every  $p \in P_d$  and every  $t \in T_d$ ;
- (ii) Pre[p, t] = Post[p, t] for every  $p \in P_d$  and every  $t \in T_c$ .

The incidence matrix of the net is  $\mathbb{C} = Post - Pre$ ,  $\mathbb{C} \in \mathbb{R}^{|P| \times |T|}$  and the state of the net is the marking  $m \in \mathbb{R}_{0+}^{|P_c|} \times \mathbb{N}^{|P_d|}$ , which evolves dynamically. The marking can be partitioned into its real and natural components,  $m = [m'_c m'_d]'$ ,  $m_c \in \mathbb{R}_{0+}^{|P_c|}$ ,  $m_d \in \mathbb{N}^{|P_d|}$ , the marking of continuous places and discrete places, respectively. The *preset* and *postset* of a node  $\xi \in P \cup T$  are denoted as  ${}^{\bullet}\xi$  and  $\xi^{\bullet}$ .

Definition 2 (HPN system) An HPN system is a tuple  $\langle \mathcal{N}, m_0 \rangle$  where

- $\mathcal{N}$  is a HPN.
- $m_0: P \to \mathbb{R}_{0+}$  assigns to each place p, an initial marking  $m_0[p]$ . For every  $p \in P_d$ , it is required that  $m_0[p] \in \mathbb{N}$ .

*Definition 3 (Enable degree)* 

Let  $\langle \mathcal{N}, m_0 \rangle$  be an HPN system. At marking *m*, the enabling degree of a transition  $t \in T_d$  is  $enab(t,m) = \min_{p \in {}^{\bullet}t} \left\lfloor \frac{m[p]}{Pre[p,t]} \right\rfloor$ , and the enabling degree of a transition  $t \in T_c$  is  $enab(t,m) = \min_{p \in {}^{\bullet}t} \frac{m[p]}{Pre[p,t]}$ .

# Definition 4 (Firing)

Let  $\langle \mathcal{N}, m_0 \rangle$  be an HPN system. A transition  $t \in T$  can be fired in any amount  $\alpha$  such that  $0 \leq \alpha \leq \operatorname{enab}(t, m)$ , where  $\alpha \in \mathbb{N}$  if  $t \in T_d$ ,  $\alpha \in \mathbb{R}$  if  $t \in T_c$ . The firing of t in a certain amount  $\alpha$  leads to a new marking  $m' = m + \alpha \cdot \mathbb{C}[P, t]$ , where  $\mathbb{C}[P, t]$  is the column of the incidence matrix corresponding to transition t.

Hence, as in discrete PN systems, the state (or fundamental) equation  $m = m_0 + \mathbb{C} \cdot \sigma$  summarizes the marking evolution where  $\sigma$  is the firing count vector. Similarly to continuous PNs, in HPNs, the marking of a continuous place can be seen as an amount of fluid being stored, and the firing of a continuous transition can be considered as a flow of this fluid going from a set of places (input places) to another set of places (output places).

As in classical PNs, vectors  $Y \ge 0$ ,  $Y \cdot \mathbb{C} = 0$  ( $X \ge 0$ ,  $\mathbb{C} \cdot X = 0$ ) represent P-semiflows or conservative components (T-semiflows or consistent components). A net  $\mathcal{N}$  is conservative (consistent) if there exists Y > 0 such that  $Y \cdot \mathbb{C} = 0$  (X > 0 such that  $\mathbb{C} \cdot X = 0$ ). A net  $\mathcal{N}$ is structurally bounded if there exists Y > 0 such that  $Y \cdot \mathbb{C} \le 0$  (notice that this condition can be checked in polynomial time).

# 2.2. Timed hybrid Petri nets

For the timing interpretation of continuous transitions, a first order (or deterministic) approximation of the discrete case [23] is used, hence assuming that the delays associated to the firing of the transitions are approximated by their mean values. As a result, the marking evolution with respect to time  $\tau$  is

$$m(\tau) = m(0) + \mathbb{C} \cdot \sigma(\tau). \tag{1}$$

where  $\sigma(\tau)$  is the firing count vector at time  $\tau$ . The instantaneous flow  $f \in \mathbb{R}_{0+}$  of a continuous transition  $t \in T_c$  is defined as the derivative of its firing count vector with respect to time, that is,  $f = \dot{\sigma}$ .

Different semantics have been defined for the firing of continuous transitions, the most commonly used being *infinite server* (also called variable speed) [23] and *finite server* (also called constant speed) [3] semantics. In this paper, finite server semantics is considered. Under finite server semantics, every continuous transition,  $t \in T_c$ , of the timed system is associated with a real parameter  $\lambda[t] > 0$  that is the maximum flow allowed by t, i.e.,  $f[t] \leq \lambda[t]$ .

As for continuous transitions, different time interpretations can be adopted for the firing of discrete transitions. Here, single server semantics for discrete transitions is considered, and a deterministic delay  $\vartheta[t] \in \mathbb{R}_+$  is associated to each transition  $t \in T_d$ . An enabled discrete transition t can fire if it has been enabled for at least  $\vartheta[t]$  time units. No resolution policy for the conflicting transitions is specified, letting the exact firing time to be determined by the controller, which aims to optimize a given objective function. Notice that the firing of a discrete transition might disable other transitions in conflict.

#### *Definition 5 (THPN system)*

A *timed hybrid Petri net system* (THPN system) is a tuple  $\langle \mathcal{N}, m_0, \lambda, \vartheta \rangle$  where:

- $\langle \mathcal{N}, m_0 \rangle$  is an HPN system.
- $\lambda: T_c \to \mathbb{R}_+$  defines the maximum flow allowed by each continuous transition.
- $\vartheta$  :  $T_d \to \mathbb{R}_+$  defines the time delay of each discrete transition.

Intuitively, if a continuous transition is seen as a valve through which a fluid passes,  $\lambda$  can be seen as the maximum flow admitted by the valve. In contrast to [4], we do not impose a lower bound for the flow of the transitions, thus,  $0 \le f[t] \le \lambda[t]$ .

In THPN, two types of enabling for continuous transitions are considered [4].

#### Definition 6 (Enabling of continuous transitions)

Let  $\langle \mathcal{N}, m_0, \lambda \rangle$  be a THPN system and  $t \in T_c$ . Let *m* be a marking such that  $m[p] \ge Pre[p, t]$  for every  $p \in {}^{\bullet}t \cap P_d$ .

- *t* is strongly enabled at *m* if m[p] > 0 for every  $p \in {}^{\bullet}t \cap P_c$ .
- *t* is weakly enabled at *m* if there exists  $p \in {}^{\bullet}t \cap P_c$  such that m[p] = 0.

A continuous transition is not enabled if there exists  $p \in {}^{\bullet}t \cap P_d$  such that m[p] < Pre[p, t]. Notice that in contrast to an untimed HPN, in a THPN, a continuous transition having an empty input continuous place may be weakly enabled and can fire. This happens when such an input place receives some input flow that is instantaneously consumed by the transition.

The flow of a transition depends on its enabling state.

# Definition 7 (Flow)

Let  $\langle \mathcal{N}, m_0, \lambda \rangle$  be a THPN system and  $t \in T_c$ , then

- If t is strongly enabled, then it has maximum flow, that is,  $f[t] = \lambda[t]$ .
- If t is not enabled, then it has no flow, that is, f[t] = 0.
- The flow of the weakly enabled transitions must ensure that  $m[p] \ge 0$ , for all  $p \in P_c$ .

The computation of an admissible flow f is non-trivial when several empty places appear. In [24], an iterative algorithm is suggested to compute one admissible flow f. In this paper, f is computed similarly to [4] where the set of admissible flows is characterized by a set of linear inequalities. Similarly to the firing of discrete transition, the flow of continuous transitions will be determined by the controller.

Let us consider two of the events that can happen during the evolution of a THPN: (i) a discrete transition is fired; and (ii) a continuous place becomes empty. Between two consecutive of such events, no discrete transition is fired, and no continuous place becomes empty. Hence, according to Definition 7, between such events, the flow of continuous transitions f is constant, and consequently, the trajectory of the marking of the continuous places is linear. The occurrence of an event can modify the value of f, which will be kept constant until a new event occurs. This way, the overall trajectory of the marking of the continuous places is piecewise linear.

#### Example 1

Consider the system in Figure 1. The only input place of  $t_1$  is marked, hence  $t_1$  is strongly enabled and  $f[t_1] = \lambda[t_1] = 2$ . Given that  $t_2$  is always strongly enabled, the evolution of  $m[p_1]$  is given by  $\dot{m} = \lambda[t_2] - \lambda[t_1] = -1$ . At time 1,  $p_1$  becomes empty, that is, an event occurs, and  $t_1$  becomes weakly enabled. Now, the maximum flow admitted by  $t_1$  is 1, because a greater flow would cause  $m[p_1]$  to be negative. Being  $f[t_1] = 1$ ,  $p_1$  remains empty. Now,  $p_1$  can be seen as a tube instead of a deposit, and no more events occur. For arbitrary values of  $\lambda[t_1]$  and  $\lambda[t_2]$ , when  $p_1$  is empty, the flow of  $t_1$  is defined as  $f[t_1] = \min(\lambda[t_1], \lambda[t_2])$ .



Figure 1. Transition  $t_1$  becomes weakly enabled at  $\tau = 1$ .

## 2.3. Control actions

Control actions can be introduced in THPNs to modify the autonomous evolution. In THPNs, the control affects the transitions.

A discrete transition  $t \in T_d$  is *controllable* when its firing time is a decision variable that can be selected by an appropriate control strategy. To ensure that the task modeled by the transition is finished, the firing is not allowed to happen before  $\vartheta[t]$  time units have elapsed from the enabling of t.

A continuous transition  $t \in T_c$  is *controllable* when its flow f is a decision variable u[t] such that

$$0 \le u[t] \le \lambda[t],\tag{2}$$

where  $u \in \mathbb{R}^m$  is the vector of controls. An action u[t] on the transition t can be seen as if the hypothetical valve associated to t was opened by the amount u[t]. In this paper, it is assumed that all transitions (discrete and continuous) are controllable. If t is strongly enabled, (2) is the only constraint that u[t] must satisfy. However, if t is weakly enabled, u[t] must be such that the nonnegativity of the marking is ensured.

# Example 2

To show how input actions modify the evolution of a system, we apply the input actions  $u[t_1] = 1.5$ and  $u[t_2] = 1$  to the system in Figure 1. After two time units,  $p_1$  becomes empty. Hence, the maximum flow allowed by  $t_1$  is the input flow coming to  $p_1$ , which is 1, that is,  $u[t_1]$  must satisfy  $0 \le u[t_1] \le 1$ . Let  $u[t_1] = 0.5$ , and consequently,  $p_1$  will start to fill at a rate of 0.5 tokens per time unit.

# 3. EVENT-DRIVEN REPRESENTATION

This section describes how THPNs can be expressed as a particular class of mixed logical dynamical (MLD) systems, where each step represents the marking evolution between two events of the THPN. Section 3.1 introduces the event-driven mixed logical dynamical (eMLD) systems, and Section 3.2 shows how the THPN is transformed into an eMLD.

# 3.1. Event-driven mixed logical dynamical systems

Mixed logical dynamical systems [25] are computationally oriented representations of discrete-time hybrid systems. MLDs consist of a set of linear equalities and inequalities involving both real and Boolean  $(\{0, 1\})$  variables. An MLD system is described by the relations

$$x(k+1) = Ax(k) + B_1u(k) + B_2\delta(k) + B_3z(k) + B_4$$
(3a)

$$y(k) = Cx(k) + D_1u(k) + D_2\delta(k) + D_3z(k) + D_4$$
(3b)

$$E_1 u(k) + E_5 x(k) \le E_2 \delta(k) + E_3 z(k) + E_4,$$
 (3c)

where  $x = [x'_c x'_d]' \in \mathbb{R}^{n_r} \times \{0, 1\}^{n_b}$  is a vector of continuous and binary states,  $u = [u'_c u'_d]' \in \mathbb{R}^{m_r} \times \{0, 1\}^{m_b}$  are the inputs,  $y = [y'_c y'_d]' \in \mathbb{R}^{p_r} \times \{0, 1\}^{p_b}$  are the outputs,  $\delta \in \{0, 1\}^{r_b}$ ,  $z \in \mathbb{R}^{r_r}$  represent auxiliary binary and continuous variables, respectively, and *A*, *C*, *B<sub>i</sub>*, *D<sub>i</sub>*, *i* = 1,...,4,  $E_i$ , *i* = 1,...,5 are matrices of suitable dimensions. Given the current state x(k) and input u(k), the evolution of (3a)–(3c) is determined by solving (3c) for  $\delta(k)$  and z(k), then updating x(k + 1) and y(k) from (3a) and (3b). It is assumed that the system (3a)–(3c) is *well-posed* [25], which means that for any value of x(k), u(k) within the range of interest,  $\delta(k)$ , z(k) are uniquely determined by (3c).

In [26], the authors have proposed an event-driven MLD model (eMLD),

$$\chi(k+1) = \chi(k) + B_1 \mu(k) + B_2 \delta(k) + B_3 z(k) + B_4$$
(4a)

$$y(k) = C\chi(k) + D_1u(k) + D_2\delta(k) + D_3z(k) + D_4$$
(4b)

$$E_1\mu(k) + E_5x(k) \le E_2\delta(k) + E_3z(k) + E_4,$$
(4c)

where  $\chi(k) = [x(k)' \tau(k)]', q \in \mathbb{R}_{0+}, \mu(k) = [v(k)' u_d(k)' q(k)]'$ . In the eMLD (4), the counter k represents the number of events, the additional state variable  $\tau(k)$  is the total time elapsed when the kth event occurs, q(k) is the time between the kth and the (k + 1)th events, and v(k) is the integral of the continuous control input between the kth and the (k + 1)th events, where it is assumed piecewise constant, that is,  $v(k) = q(k)u_c(t(k)^+)$ . As discussed in [26], in the eMLD system, an event occurs either when the value of  $\delta$  changes, because of (4c), or when the input  $\mu$  is changed.

In what follows, we show how to transform THPNs in eMLD form.

# 3.2. Transforming THPNs to event-driven MLDs

*3.2.1. Statements as linear inequalities.* Consider the THPN in Figure 1. According to the defined semantics, the continuous-time marking evolution is described by

if 
$$m[p_1] > 0$$
 then  $\dot{m}[p_1] = -1$   
else  $\dot{m}[p_1] = 0$  (5)

Clearly, if the initial marking of  $p_1$  is  $m_0[p_1] = 1$ , after 1 time unit,  $p_1$  becomes empty. Such an evolution can be described appropriately by a discrete-time model, only if the duration of the sampling period  $h \in \mathbb{R}$  satisfies  $h \cdot k = 1$ , for some  $k \in \mathbb{N}$ . If this is not the case, the marking of  $p_1$  will become at some point negative, and the evolution will block. This phenomenon is named mode-mismatch error, where the exact instant of the mode switch is lost, because it occurs in the intersampling. Mode-mismatch is present in most discrete-time models of hybrid systems [9], and it can be alleviated by imposing a very small sampling period h (oversampling), which however results in unnecessary computations in the control algorithm.

Indeed, mode mismatch is not present if a continuous time model of the system is used. However, for continuous time models, the input is an infinite-dimensional decision variable, hence computational tools, which require finite dimensional decision variables, such as mathematical programming, cannot be used. To overcome the mode-mismatch while retaining finite dimensionality of the input, an event-driven approach, instead of a discrete-time one, shall be used. In an event-driven approach, the system evolves at events, and the time separation of the events is not constant, but modelled as a variable, for example, component q(k) of  $\mu(k)$  in (4). By including mode switches in the set of events, the mode-mismatch error is removed. For the marking evolution of the system in Figure 1, we obtain

if 
$$m[p_1](k) > 0$$
 then  $m[p_1](k+1) = m[p_1](k) - 1 \cdot q(k)$   
else  $m[p_1](k+1) = 0.$  (6)

Such a conditional statement can be easily included in an eMLD system (4). To construct the eMLD representation of a THPN, we write the evolution of the system between events k and k + 1. Recall that the time between these two events (denoted by q(k)) is not constant. The possible events at k + 1 are as follows:

- 1. A marked continuous place  $p \in P_c$  at k becomes empty at k + 1. In this case, it is necessary to recompute the firing flow of continuous transitions to ensure the positiveness of m[p];
- 2. A discrete transition  $t \in T_d$  that has been enabled during a time period greater than or equal to  $\vartheta[t]$  is fired;
- 3. A discrete transition changes its enabling status, that is, it becomes enabled or disabled. In this case, its associated clock should be started or disabled.

After q(k) time units, at least one of the previous events must happen. Now, we define the set of constraints of the eMLD representation of a THPN. The first constraint is the state equation corresponding to the continuous places

$$m_c(k+1) = m_c(k) + \mathbb{C} \cdot v(k) \ge 0. \tag{7}$$

Notice that we used a variable  $v(k) = q(k) \cdot u(k)$  in order to linearize the state equation. Knowing q(k) and v(k), the control action of continuous transitions is immediately obtained. The constraints on the control action (2) are also translated to the new parametrization of the control actions by including

$$0 \le v(k) \le q(k) \cdot \lambda,\tag{8}$$

in the set of constraints. The constraint that all markings must be nonnegative is included in (7). Obviously, the flow of discrete transition is null, and this can be carried out by adding the following constraints

$$v_i(k) = 0, \forall t_i \in T_d.$$

$$\tag{9}$$

Finally, if a continuous transition  $t_j$  has a discrete input place  $p_i$  and  $m_i(k)$  is lower than the weight of the arc  $(p_i, t_j)$ , the flow of  $t_j$  must be null.

if 
$$m_i(k) < Pre[p_i, t_j]$$
 then  $v_j(k) = 0$   $(\forall t_j \in T_c, \text{ and } \forall p_i \in {}^{\bullet}t_j, p_i \in P_d).$  (10)

Next we model the three possible events of the THPN.

3.2.2. A continuous place becomes empty. To identify the fact that a continuous place  $p_i \in P_c$  that was marked at k and becomes empty at k + 1, we use a Boolean variable  $\beta_i$  defined as

$$m_i(k) > 0$$
 and  $m_i(k+1) = 0 \iff \beta_i(k) = 1.$  (11)

Later, we will make use of this Boolean variables to force the occurrence of at least one event at the end of each time period.

3.2.3. Firing of a discrete transition. To manage the firing of discrete transitions, we will use a vector  $d(k) \in \mathbb{R}_{\geq 0}^{|T^d|}$  to keep track of the time elapsed from the enabling of discrete transitions. Obviously, d(0) = 0. If a transition  $t_i \in T_d$  becomes enabled at  $\tau$ , it cannot fire before  $\tau + \vartheta[t_i]$ . We define a Boolean variable  $\gamma_i$  such that  $\gamma_i(k) = 0$  if  $d_i(k) < \vartheta[t_i]$ 

if 
$$d_i(k) < \vartheta_i$$
 then  $\gamma[t_i](k) = 0.$  (12)

Therefore, if  $\gamma_i = 0$ , then  $t_i \in T_d$  will not fire. On the other hand, if  $d_i(k) \ge \vartheta[t_i]$ , we do not assign any value to  $\gamma_i$ , being a decision variable. In this last case, if  $\gamma_i$  is assigned to 1, the corresponding discrete transition will fire. The firing of discrete transitions is described by

$$m(k+1) = m(k) + \mathbb{C} \cdot \gamma(k) \ge 0, \tag{13}$$

where  $\gamma(k)$  is the vector having as elements the values of  $\gamma_i(k)$  for discrete transition and zero components for continuous ones. Because the firing of a discrete transition should occur instantaneously, that is, this firing does not consume time, we introduce the constraint

if 
$$\gamma' \cdot \mathbf{1} \ge 1$$
 then  $q(k) = 0.$  (14)

3.2.4. Updating the clocks of discrete transitions. To capture the enabling status of a discrete transition  $t_i$ , let us define  $\alpha_i(k)$  such that  $\alpha_i(k) = 1$  if  $t_i(k) \in T_d$  is enabled and  $\alpha_i(k) = 0$  otherwise. This can be easily achieved by

$$m(k) \ge Pre[\cdot, t_i] \iff \alpha_i(k) = 1.$$
 (15)

If a transition is enabled during the time interval from k to k + 1, then its clock is increased by q(k). Otherwise, it is set to zero. This is modeled by

if 
$$\alpha_i(k) = 1$$
 and  $\alpha_i(k+1) = 1$  then  $d_i(k+1) = d_i(k) + q(k)$   
else  $d_i(k+1) = 0.$  (16)

Let us define a Boolean variable  $\mu_i$  such that  $\mu_i(k) = 1$  if  $t_i(k) \in T_d$  changes its enabling status from k to k + 1

Consequently, to ensure that at least one of these three events occurs at k, the following constraint is introduced

$$\sum_{i} \beta_i(k) + \sum_{i} \gamma_i(k) + \sum_{i} \mu_i(k) \ge 1.$$
(18)

#### 4. EVENT-DRIVEN CONTROL OF TIMED HYBRID PETRI NET SYSTEMS

In this section, we show how optimization-based control can be applied to THPNs via their eMLDs formulation, and how feedback can be accounted for by an MPC strategy. We first propose a set of cost functions and constraints that can be used to formulate open-loop finite horizon optimal control problems for the THPN, which can be solved by standard mixed integer linear programming (MILP) algorithms. Similarly to formulations of optimal control problems of discrete-time systems that explicitly specify the final time instant of the period over which the optimization is carried out, we will explicitly specify the number of events, N, over which the optimization is performed. Notice that in the proposed event-driven framework, the actual time period elapsed till the *i*th event takes place depends on the duration of each time interval, which is not constant. Given that such durations are variables of the optimization problem, many different constraints can be set on them. Moreover, as it is shown next, the event-driven approach allows to formulate minimum-time control problems. At the end of the section, we show how the optimal control problem can be used as the base for a receding horizon control strategy hence implementing an event-driven MPC algorithm for the THPN.

#### 4.1. Event-driven optimal control

The advantages of formulating the THPN as an eMLD system (4) is that the dynamics are expressed by mixed-integer equalities and inequalities. As a consequence, the dynamics equations can be included into the mixed integer optimization problem

$$\min_{\bar{\mu}(t)} \quad J\left(\bar{\mu}(t), \bar{\chi}(t)\right) \tag{19a}$$

s.t. 
$$\chi(k+1|t) = A\chi(k|\tau) + B_1v(k) + B_2\delta(k|\tau) + B_3z(k|\tau) + B_5$$
 (19b)

$$E_2\delta(k|\tau) + E_3z(k|\tau) \le E_1\mu(k|\tau) + E_4\chi(k|\tau) + E_5$$
(19c)

$$H_2\delta(k|\tau) + H_3z(k|\tau) \le H_1\mu(k|\tau) + H_4\chi(k|\tau) + H_5$$
(19d)

$$\chi(0|t) = \chi(t), \quad k = 1, ..., N$$
 (19e)

where J in (19a) is the cost function,  $\bar{\mu}(t) = {\{\mu(k|\tau)\}_{k=0}^{N}}$  are the decision variables,  $\bar{\chi} = {\{\bar{\chi}(k|\tau)\}_{k=0}^{N}}$  is the eMLD state trajectory, (19b) and (19c) define the dynamics, (19d) models additional constraints, and (19e) defines the initial state used for prediction. Note that once the initial state is fixed by (19e), the trajectory  $\bar{\chi}(t)$  and the value of the auxiliary variables are assigned because of the wellposedness of the eMLD.

The decision vector  $\bar{\mu}(t)$  contains the continuous command integral, the corresponding duration, and the discrete command. From the first and the second ones, the flow commands can be easily obtained as u(h|t) = v(h|t)/q(h|t), with application interval  $(\tau(h|t), \tau(h|t) + q(h|t))$ .

Because the constraints in (19) are linear with integer and real variables, by choosing the cost function (19a) to be linear, the resulting problem is a mixed integer linear programming (MILP) problem. Thus, the complexity of solving an MILP is exponential in the number of Boolean variables. However, efficient algorithms and software exist [27–30] that allow the application of MILP even to large scale real industrial systems modeled by PNs [31]. Modern MILP softwares are capable of solving problems with thousands of variables in few tens or hundreds of seconds. Thus, although not suitable for systems with fast dynamics (millisecond range), MILP seems suitable for systems with dynamics in the medium to slow range (seconds, minutes). In particular, in the proposed approach, at each step, there exists the following: (i) one Boolean variable per continuous place (variables  $\beta$  in (11) to identify that a continuous place becomes empty); (ii) three Boolean variables per discrete transition (variables  $\gamma$  in (12) that determine if the transition fires; variables  $\alpha$ in (15) to capture the enabling status; and variables  $\mu$  in (17) showing that the enabling status has changed). Therefore, the number of Boolean variables is  $N \cdot (|P_c| + 3 \cdot |T_d|)$ . Notice that in order to store the marking of discrete places, it is not necessary to restrict the variables to integer values in the MILP, because integer values will be automatically forced by the integer firings. Finally, the computational complexity can be reduced by introducing specific cuts in the optimization problem, which may reduce the overall performance in favor of faster calculation.

Figure 2 sketches the steps that have been followed to obtain an MILP problem from the initial THPN. If (19a) is chosen to be a quadratic function, the resulting problem will be a mixed-integer quadratic problem, which is still solvable, even though computationally more complex [26].

The cost function (19a) and the additional constraints (19d) are used to define the objectives of the optimization problem, as shown next.



Figure 2. Obtaining an MILP problem from a THPN system.

4.1.1. Final target marking. To enforce the marking to reach a desired target marking  $\tilde{m}$  after N events, a terminal constraint can be added

$$m(N) = \tilde{m}.$$
(20)

The terminal constraint (20) can be softened to preserve feasibility of the optimization problem (19), hence adding to J in (19a) the term

$$F(m(N), \tau(N)) = \rho \| m(N) - \tilde{m} \|_{\infty}, \qquad (21)$$

where  $\rho$  is a large weight. A more general case is to consider a desired marking range, for instance, a polyhedral set expressed by the constraints  $\mathcal{M}_N m(n) \leq M_N$ ,  $\mathcal{M}_N \in \mathbb{R}^{q \times |P|}$ ,  $M_N \in \mathbb{R}^q$ .

4.1.2. Cost function. For THPN control, the general form of the cost function is

$$J(\bar{\chi}(t),\bar{\mu}(t)) = F(m(N|t),\tau(N|t)) + \sum_{k=0}^{N-1} L(m(k|\tau),\tau(k|\tau),\mu(k|\tau)).$$
(22)

hence composed of a terminal cost F and a stage cost L, usually in the form

$$L(m,\tau,\mu) \triangleq \|m - \tilde{m}\|_{p}^{Q_{1}} + \|\tau - \tilde{\tau}\|_{p}^{Q_{2}} + \|v - \tilde{v}\|_{p}^{R_{1}} + \|q - \tilde{q}\|_{p}^{R_{2}}$$
(23a)

$$F(m,\tau) \triangleq \|m - \tilde{m}\|_{p}^{Q_{N}} + \|\tau - \tilde{\tau}\|_{p}^{Q_{\tau}}, \ p \in \{1,\infty\}.$$

$$(23b)$$

where '~' denotes a given reference for the corresponding vector. The following subsections show some of the possible control goals in an event-driven framework. The use of  $1, \infty$ -norms allows to formulate (22) as a linear function, through auxiliary variables and linear constraints [32].

A case of particular interest is *minimum-time* control, where the minimum time to reach a certain marking is sought. Thus, together with terminal constraint (20), the stage cost and terminal cost are respectively set to

$$L(m(k), \tau(k), \mu(k)) = q(k), \ F(m(N), \tau(N)) = 0.$$
(24)

A different criterion to reach the desired marking  $\tilde{m}$  is *minimum-effort*, which minimizes the intensity of the command input  $u(\tau)$ , hence letting the THPNs evolve as close as possible to its autonomous behavior. By using the  $\ell_1$ -norm of the input signal, we obtain  $J(m, \tau, q, v) = \int_0^{\tau_N} \|u(\tau)\| dt = \sum_{k=0}^{N-1} \int_{\tau(k)}^{\tau(k+1)} \|u(\tau)\|_1 d\tau$ . Because u is constant in each period  $[\tau(k), \tau(k+1)]$ ,

$$L(m(k|\tau), \tau(k|\tau), \mu(k|\tau)) = \|v(k|\tau)\|_1, \ F(m(N|t), \tau(N|t)) = 0.$$
(25)

A slightly different cost function from (22) can be used to represent the *minimum-displacement* criterion. This criterion looks for the trajectory that minimizes the largest deviation from a desired continuous state trajectory  $\tilde{m}(\cdot)$ , that we assume piecewise linear and continuous (a special case is  $\tilde{m}(\cdot) \equiv \tilde{m}$ )

$$J(\bar{\chi}(t), \bar{\mu}(t)) = \max_{\tau \in [\tau(0), \tau(N)]} \|m(\tau) - \tilde{m}(\tau)\|_{\infty}.$$
 (26)

Proposition 1

Let  $m_c(\tau)$ ,  $\forall \tau \in [\tau_0, \tau_N]$ , be the trajectory of continuous states of a THPN system,  $\tau_0 < \tau_1 < \ldots < \tau_N$  be the event instants, assume that  $\tilde{m}(\tau)$  is linear over each  $[\tau_i, \tau_{i+1}]$ ,  $i = 0, \ldots, N-1$  and continuous over  $[\tau_0, \tau_N]$ . Then

$$\max_{\tau \in [\tau_0, \tau_N]} \|m(\tau) - \tilde{m}(\tau)\|_{\infty} = \max_{k=0, \dots, \tau_N} \left\{ \|m(k|\tau) - \tilde{m}(k|\tau)\|_{\infty} \right\}.$$
 (27)

Proof

The marking trajectories of a THPN are continuous, so  $||m(\cdot) - \tilde{m}(\cdot)||_{\infty}$  is continuous, being the composition of continuous functions  $(|| \cdot ||_{\infty}, m(\cdot), \tilde{m}(\cdot))$ , and therefore the maximum over

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 $[t_0, t_N]$  is well defined. Moreover, function  $||m(\cdot) - \tilde{m}(\cdot)||_{\infty}$  is a convex function of time  $\tau$  on  $[\tau(k), \tau(k+1)]$ , being the composition of a convex function (the infinity norm) with linear functions (the state trajectory of the THPN and  $\tilde{m}$  between two consecutive switches). Thus, it attains its maximum either at  $\tau(k)$  or at  $\tau(k+1)$ . Hence,

$$\max_{\tau \in [\tau(0), \tau(N)]} \|m(\tau) - \tilde{m}(\tau)\|_{\infty}$$
  
= 
$$\max_{0 \le k \le N-1} \left\{ \max_{\tau \in [\tau(k), \tau(k+1)]} \|m(t) - \tilde{m}(t)\|_{\infty} \right\}$$
  
= 
$$\max_{0 \le k \le N-1} \left\{ \max\{\|m(\tau(k)) - \tilde{m}(\tau(k))\|_{\infty}, \|m(\tau(k+1)) - \tilde{m}(\tau(k+1))\|_{\infty}\} \right\}$$
  
= 
$$\max_{0 \le k \le N} \{\|m(\tau(k)) - \tilde{m}(\tau(k))\|_{\infty}\} = \max_{k=0,...,\tau_N} \{\|m(k|\tau) - \tilde{m}(k|\tau)\|_{\infty}\}$$

Note that cost function (27) still leads to a mixed-integer linear formulation of problem (19).

# Remark

The cost function (24) searches for the minimum time trajectory from the initial marking to the desired marking using at most N events. Note in fact that the time to reach the desired marking is not a multiple of a sampling period (as it is for discrete time control), and that 'fake' events, that is, events with 0 time separation under which the state does not change, can be generated if less than N are events needed. The search for the optimal N makes the problem nonlinear, but it can be dealt with by iterative schemes similarly as in the discrete time case [33]. However, the possibility of 'fake' events simplifies the search, as for large N, the optimal solution is readily found.

## 4.2. Event-driven model predictive control

Problem (19) is a finite horizon open-loop optimal control problem, which computes the control profile  $u(r), r \in [\tau, \tau + \tau(N|\tau)]$ , such that the constraints are satisfied and the cost is minimized. However, the control profile proceeds only for a finite number of events, where more events can be considered only at the price of an increased computational burden for solving (19). Furthermore, disturbances that occur during the execution of the control profile and possible modelling errors are not accounted for. Thus, a receding horizon feedback strategy is more advisable for cases where disturbances are possible. For this reason, we incorporate the optimal control problem (19) in an event-driven closed-loop strategy based on MPC [11, 12].

The event-driven model predictive control (eMPC) strategy operates as follows:

- (1) Let N be the event horizon; at a generic time  $\tau$ , set  $\chi(\tau) = [m(\tau)' \psi(\tau)' \tau']'$ .
- (2) Solve problem (19), to obtain the sequence of optimal controls  $\mu^*(\chi(\tau)) = [\mu^*(0|\tau), \dots,$  $\mu^*(N-1|\tau)].$
- (3) Compute the input levels profile  $\bar{u}_c^*(\chi(\tau)) = \left[u_c^*(0|\tau), \dots, u_c^*(N-1|\tau)\right] = \left[\frac{v^*(0|\tau)}{q^*(0|\tau)}, \dots, u_c^*(N-1|\tau)\right]$  $\frac{v^*(N-1|\tau)}{r^*(N-1|\tau)}$

$$q^*(N-1|\tau)$$
]'

- (4) Apply  $u(\xi) = \left[u_c^*(0|\tau)' u_d^*(0|\tau)'\right]'$  for  $\xi \in [\tau, \tau + q^*(0|\tau)]$ . (5) Set  $\tau = \tau + q^*(0|\tau)$ , measure the new value of  $\chi(\tau)$  and go to Step 2.

The actual state  $m(\tau + q(0|\tau))$  at the end of each control action may be different from the predicted one  $m(1|\tau)$  because of external disturbances and modelling errors. In fact, also the time instant at which the optimization problem is repeated may be different from the scheduled instant  $\tau + q(0|\tau)$ . By the closed-loop nature of the eMPC approach, the current state (and time) are measured or estimated again and a new updated optimal input sequence is computed.

For the nominal case, that is, the trajectory is not perturbed by external disturbances, the reachability of a desired target marking can be proven.

# Proposition 2

Consider the event-driven MPC scheme applied to a THPN where the cost function is the minimumtime criterion (24), and where the terminal constraint (20) is applied on the desired target marking  $\tilde{m}$ . If the problem is feasible at time  $\tau_0$  with finite cost, then it is recursively feasible and the desired marking is reached in finite time, that is,  $m(\tau) = \tilde{m}$  for  $\tau < \infty$ .

#### Proof

The result follows from the convergence of the eMLD scheme proved in [26]. Because at time  $\tau_0$  problem (19) is feasible with finite cost, due to terminal constraint and minimum-time criterion, the command sequence  $\mu^*(\tau_0)$  brings the marking to the target in finite time  $J^*(\tau_0)$ . A time  $\tau_1 = \tau_0 + q^*(0|\tau_0)$ , a new optimization problem is solved, where the sequence  $[\mu^*(1|\tau_0), \ldots, \mu(N|\tau_0), \mu(N|\tau_1)]$  where  $\mu(N|\tau_1) = [0 \ 0 \ 0]'$  is feasible, and brings the marking to the target in time  $J(\tau_1) = J^*(\tau_0) - q^*(0|\tau_0)$ . Hence,  $J^*(\tau_1) \leq J^*(\tau_0) - q^*(0|\tau_0)$ , and by recursive application,  $J^*(\tau_k) + \sum_{i=0}^{k-1} q^*(0|\tau_0) \leq J^*(\tau_0)$ , which means that the time computed at the first step is always a lower bound for the time to reach the marking. Because  $J^*(\tau_0) < \infty$ , the time to reach the marking is finite.

Similar reachability results can be proved for the other criteria, where however the target may be reached only asymptotically in time, because convergence time is not explicitly accounted for in the cost function.

# 5. CASE STUDIES

This section presents two manufacturing systems modeled by hybrid PNs to which an event-driven MPC approach has been applied. The first system is a multiclass machine, the second one is a production network.

## 5.1. Multiclass machine

The HPN in Figure 3 models a production system consisting of two lines and a single machine that processes the items in both lines. The first (second) line is modeled by transitions  $t_1$ ,  $t_2$  ( $t_3$ ,  $t_4$ ), and places  $p_1$ ,  $p_3$  ( $p_2$ ,  $p_4$ ), and has a capacity of  $c_1$  ( $c_2$ ) items. The input flows of the first and second lines are given by the flows of  $t_1$  and  $t_3$ , respectively. Places  $p_1$  and  $p_2$  are the buffers to store the incoming parts from  $t_1$  and  $t_3$  before being processed. The output flow of the first (second) class is represented by  $t_2$  ( $t_4$ ). The processing machine is modeled by transitions  $t_5$ ,  $t_6$ ,  $t_7$ ,  $t_8$  and places  $p_5$ ,  $p_6$ ,  $p_7$ ,  $p_8$ . Because the number of items in the lines is expected to be high, the places and transitions for the lines are continuous. On the other hand, because only one machine is available, the subnet that models the machine is discrete.



Figure 3. Two production lines and a multiclass machine.

	Event-dr	iven MPC	Standard MPC	
N	$\overline{v[t_2] + v[t_4]}$	CPU time (s)	$\overline{v[t_2] + v[t_4]}$	CPU time (s)
1	1.070	0.172	1.055	1.449
2	1.090	0.218	1.040	1.881
3	1.361	0.295	1.025	2.779
4	1.538	0.506	1.015	4.203
5	1.850	1.076	0.995	7.602
6	2.012	4.649	1.550	12.927
7	1.853	8.145	1.545	27.851
8	2.041	32.444	1.520	37.290
9	2.007	153.870	1.530	88.106
10	2.033	247.380	1.525	152.860

 Table I. Results of event-driven and standard model predictive control for different prediction horizons.

Let the capacity of the buffers be  $c_1 = 30$ ,  $c_2 = 25$ , and  $\lambda[t_1] = 1.5$ ,  $\lambda[t_2] = 2$ ,  $\lambda[t_3] = 1$ ,  $\lambda[t_4] = 3$ . It is assumed that the processing machine needs 2 time units to change from one line to the other. During such 2 time units, none of the lines is processed. This is modeled by a deterministic delay of 2 units in transitions  $t_6$  and  $t_8$ , that is,  $\vartheta[t_6] = \vartheta[t_8] = 2$ , and by immediate transitions  $t_5$  and  $t_7$ , that is,  $\vartheta[t_5] = \vartheta[t_7] = 0$ . Let the initial marking of the system be  $m_0[p_1] = 5$ ,  $m_0[p_2] = 15$  and  $m_0[p_7] = 1$ . The marking of the remaining places is uniquely defined by these, because the invariants  $m[p_1] + m[p_3] = c_1$ ,  $m[p_2] + m[p_4] = c_2$  and  $m[p_5] + m[p_6] + m[p_7] + m[p_8] = 1$  must hold.

It is required to compute a control law that maximizes the number of items produced over a given time interval. This is equivalent to maximizing the sum of the integral flows, v, of transitions  $t_2$  and  $t_4$ . Hence, the cost function associated in (22) is defined by

$$F(m(N|\tau), \tau(N|\tau)) = 0, \quad L(m(k|\tau), t(k|\tau), \mu(k|\tau)) = -(v[t_2](k|\tau) + v[t_4](k|\tau)).$$
(28)

Table I summarizes the obtained results for the described control problem for different prediction horizons N. Recall that the prediction horizon refers here to number of events. The control actions have been obtained by applying the event-driven MPC approach during a maximum time of 200 time units, that is, the constraint  $\tau(N|\tau_0) \leq 200$  has been added to (19d). To highlight the different performances of event-driven and standard MPC approaches, the same Table I also reports the control results obtained by standard MPC. The sampling time for standard MPC must guarantee that the time duration of the deterministic transitions  $t_6$  and  $t_8$  is 2, that is, 2 must be a multiple of the sampling period. Notice that the shorter the time period, the higher the performance that the standard MPC can achieve. This is because during the sampling period, discrete transitions cannot be fired. For the sake of efficiency, the sampling period has been set to 2.

In Table I, column N is the prediction horizon, column  $v[t_2] + v[t_4]$  is the average sum of flows, that is, the sum of integral flows  $v[t_2]$  and  $v[t_4]$  per time unit, and *CPU time* is the computational cost in seconds. All the experiments in this paper have been performed in MATLAB 7.6.0.324(R2008a) environment running on a MacOS with 2.4 GHz Intel Core Duo and 4 GB of RAM. It can be seen that for short prediction horizons, the event-driven performs better and its computational cost is lower. This is due to the fact that the time elapsed between events is variable and events happen only when necessary. On the other hand, although for very long prediction horizons, the event-driven approach still performs better, but its computational cost is higher than that of the standard approach. The reason for this is that the resulting MILP for the event-driven control contains more variables, for example, the time elapsed between events, and therefore, it scales worse than the MILP for standard MPC control. However, Table I shows that there is basically no need to go beyond N = 6, when eMPC still outperforms standard MPC also in terms of CPU time.

For the particular case of N = 8, the time evolution of the system under event-driven MPC control is shown in Figure 4. The state of the machine is shown by the line associated to *machine*: when the value is 2, the machine is processing line 1, that is,  $m[p_5] = 1$ , when the value is 0, it is processing



Figure 4. Time trajectory of the controlled system in Figure 3 without disturbances.

	Event-driven MPC		Standard MPC	
N	$\overline{v[t_2] + v[t_4]}$	CPU time	$\overline{v[t_2] + v[t_4]}$	CPU time
1	1.0333	0.158	1.0312	1.434
2	1.0588	0.205	1.0168	1.905
3	1.3563	0.293	1.0013	2.595
4	1.5145	0.563	0.9909	4.289
5	1.8075	1.379	1.4456	7.620
6	1.8483	3.857	1.4462	13.035
7	1.8972	10.577	1.5467	23.138
8	1.9962	32.679	1.5516	39.037
9	2.0515	179.000	1.5360	69.932
10	2.0189	323.350	1.5325	142.720

 
 Table II. Results of event-driven and standard model predictive control with disturbances for different prediction horizons.

line 2, that is,  $m[p_7] = 1$ , when the value is 1, it is swapping from one line to the other, that is, either  $m[p_6] = 1$  or  $m[p_8] = 1$ . It can be observed that the machine starts swapping as soon as one of the buffers becomes empty, that is, for this particular set of parameters, the maximum production is obtained when the production of both lines is alternated. Note that, as expected for an event-driven formulation, a step only takes place when an event happens, and thus, in general, the duration of the steps is variable.

Let us now assume that input flows are subject to external uncontrollable and unknown disturbances that can modify the flow up to 10%. More precisely, this means that once the control action  $u[t_1](u[t_3])$  is computed for a given interval, it is modified as  $u[t_1] + \gamma \cdot u[t_1](u[t_3] + \gamma \cdot u[t_3])$ , where  $\gamma$  is random variable with continuous uniform distribution in the interval [-0.1, .1], before being applied to the system. Obviously, if the resulting value would produce negative markings, it is truncated appropriately. Table II shows the performances and CPU time for both eMPC and standard MPC for several prediction horizons N. The same disturbances have been used in all cases. Similarly to the previous example without disturbances, it can be seen that, in general, eMPC performs better, but requires more CPU time as N becomes higher.

Figure 5 shows the resulting trajectory of the system under event-driven MPC control with N = 8. The proposed eMPC strategy reacts to these perturbations by recomputing its control actions at each step. At the first step (around time instant 12), the marking of place  $p_1$  is not as high as it would be if no perturbation existed. This is why in order to maximize the items produced over the specified period of time, the controller decides not to swap the machine to line 1 to let buffer of line 1 fill completely. It can be seen that although the trajectory of the disturbed controlled system is slightly different from the nominal one (Figure 4), the controller manages to fill and empty buffers appropriately to maximize the performance.



Figure 5. Time trajectory of the controlled system in Figure 3 with disturbances in flows of  $t_1$  and  $t_3$ .



Figure 6. A production network modeled as a THPN.

#### 5.2. Production network

In this section, we consider the production network system described in [4]. The model of the system consists of continuous places and transitions representing buffers and flows of items, and two discrete places and transitions modeling a single machine (Figure 6). In contrast to the model in [4], and in order to model the system more realistically, the net in Figure 6 includes complementary places for every buffer, so that the system is structurally bounded, and models the existing machine with a discrete subnet. In this model, we assume that the time spent by the machine to swap from one line to the other is negligible, that is,  $\vartheta[t_7] = \vartheta[t_8] = 0$ .

Let the capacity of the buffers be  $c_1 = 8$ ,  $c_2 = 6$ ,  $c_3 = 4$ ,  $c_4 = 8$ ,  $c_5 = 6$ , the upper bound of the transition flows be  $\lambda[t_1] = 0.5$ ,  $\lambda[t_2] = 1.5$ ,  $\lambda[t_3] = 0.6$ ,  $\lambda[t_4] = 1$ ,  $\lambda[t_5] = 0.8$ ,  $\lambda[t_6] = 1.5$ , and  $\alpha = 0.1$ ,  $\beta = 0.4$ . Assume that the initial marking of the system is  $m_0[p_1] = 1$ ,  $m_0[p_2] = 3$ ,  $m_0[p_3] = 5, m_0[p_4] = 2, m_0[p_5] = 4$  and  $m_0[p_6] = 1$ . We first search for the minimum time control sequence to reach the target marking  $m[p_1] = 3$ ,

 $m[p_2] = 6, m[p_3] = 4, m[p_4] = 5, m[p_5] = 5$ , while no target marking is specified for the machine.



Figure 7. Time trajectory of the controlled system in Figure 6 (a) to reach a target marking; (b) to maximize the number of items produced by  $t_4$ .

After adding the constraint for the desired target marking (20), the objective function of the control problem is set to minimum time criterion

$$F(m(N|\tau), \tau(N|\tau)) = 0, \quad L(m(k|\tau), t(k|\tau), \mu(k|\tau)) = q(k|\tau), \tag{29}$$

where we have set N = 3.

Figure 7(a) shows the time evolution of the system under the obtained control actions. The value associated to the label 'machine' indicates in which place the machine is located: if the value is 1 then the token is in  $p_6$ , if the value is 0 then the token is in  $p_7$ . The target marking is reached at the second step. During the first interval of time, which lasts 8.1 time units, the machine is processing the items in buffer  $p_1$ , and in the second time interval, it is processing the items in buffer  $p_4$ . The CPU time to compute the control actions was 0.045 s.

We want to maximize the number of items produced during the first 250 time units. The objective function associated to such control problem is  $L(m(k|\tau), t(k|\tau), \mu(k|\tau)) = -v[t_4](k)$ . The trajectory of the system under the computed control actions for a prediction horizon of 3 steps is shown in Figure 7(b). It can be observed that after the first step, a repetitive pattern develops to minimize the objective function. In this case, the computation time was 0.621 s.

## 6. CONCLUSIONS

In this paper, we have introduced an event-driven scheme for controlling THPNs with the aim of maintaining the performance of continuous-time approaches and the computability of discrete-time ones. Although the control action is finitely parametrized as in discrete-time models, hence allowing the application of optimization-based control algorithms by selecting the events to include mode switches and constraints activation, the event-driven strategy enforces constraints and mode switches continuously in time, hence avoiding intersampling constraint violation and mode-mismatch errors.

By representing the THPN in the proposed event-driven formalism, we have proposed a finite horizon open-loop optimal control problem that, using different control objectives, optimizes the dynamic behavior of the net. The problem has been used as the base of an event-driven MPC strategy that is a closed-loop control strategy and hence able to counteract the effect of external disturbances.

We have evaluated the behavior of the proposed algorithms on two examples obtained from the literature. One of the main issues to be investigated in the future is the consideration of other firing semantics, for example, infinite server, both in discrete and continuous transitions.

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